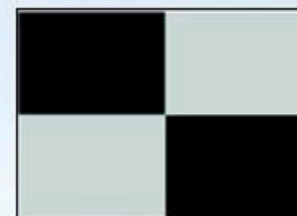


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F(R) 超重力と宇宙のはじまり

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F(R) SUPERGRAVITY and EARLY UNIVERSE

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- Motivation: Big Bang, Inflation and High Energy Physics
- Basics of cosmological inflation
- Starobinsky model of chaotic inflation
- New: F(R) supergravity ($N = 1$ in 4D)
- New: AdS bound in F(R) supergravity
- New: Embedding of Starobinsky model into F(R) supergravity
- Conclusion and Outlook

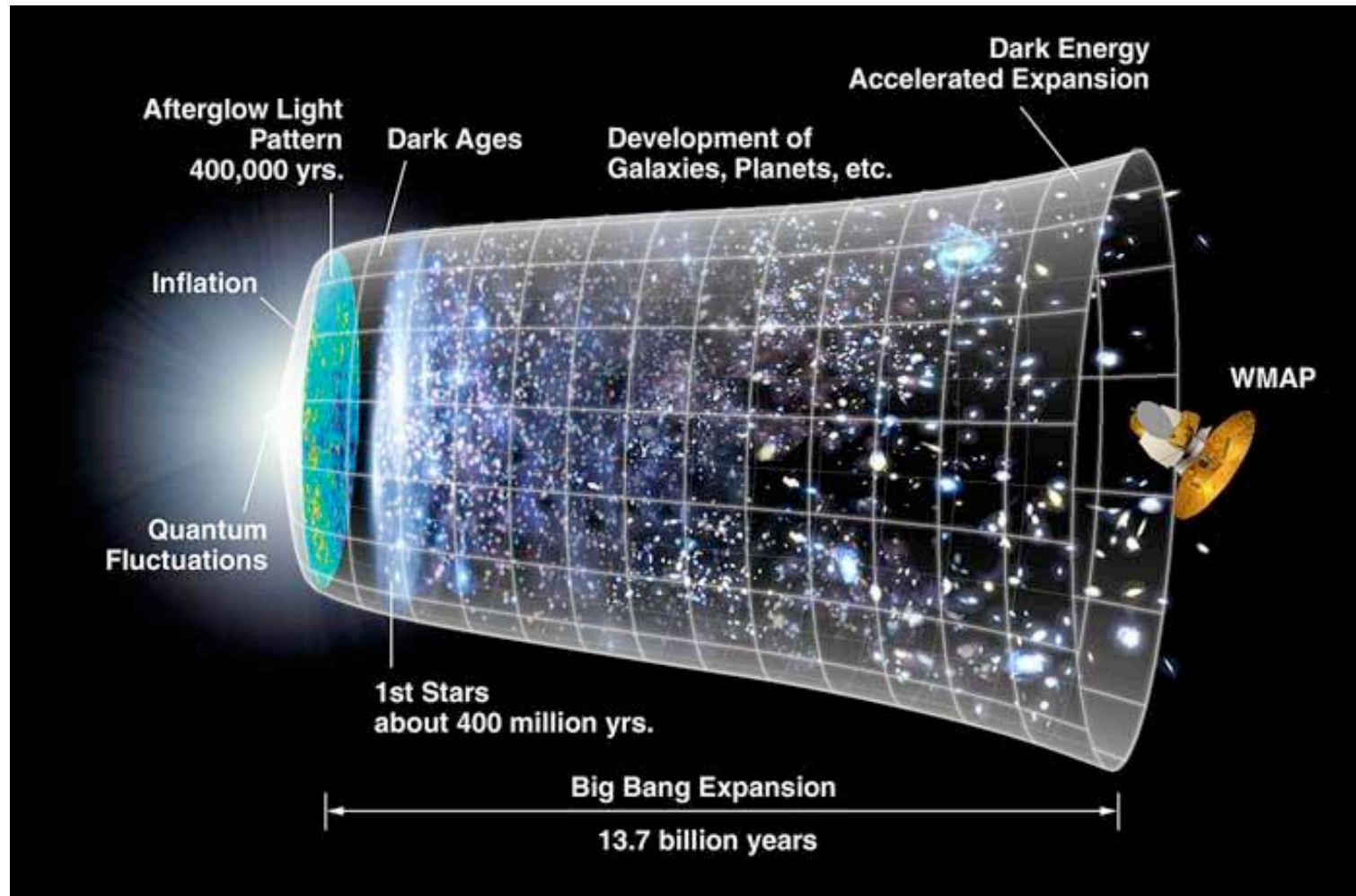
Collaborators

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- Prof. A. Starobinsky (Landau Institute of Theoretical Physics, Moscow, Russia & RESCEU, University of Tokyo, Japan)
- Dr. Nico Yunes (Princeton University, USA)
- Masao Iihoshi, Natsuke Watanabe and Sho Kaneda (my students at Tokyo Metropolitan University, Japan)

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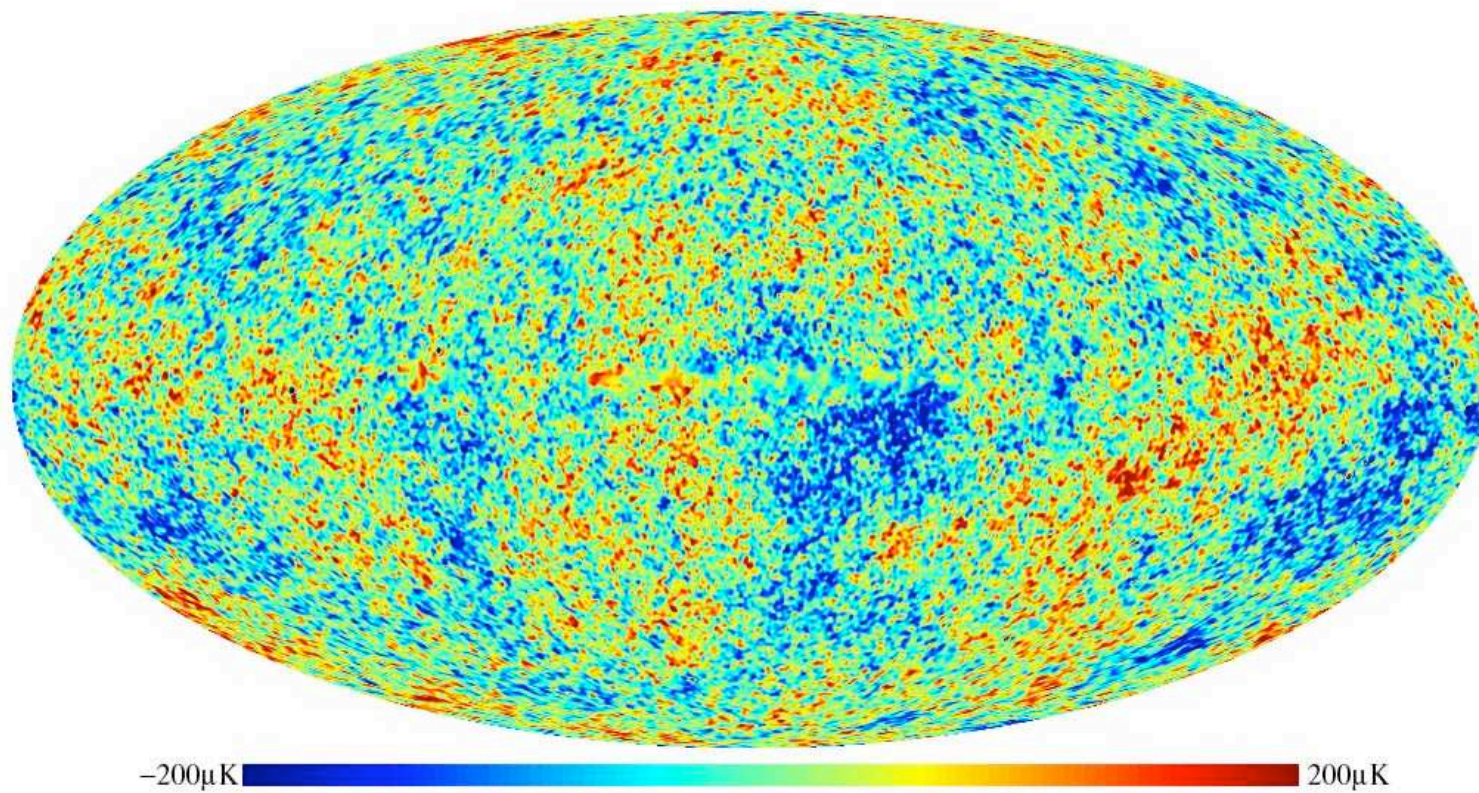
History of our Universe



Inflation in Early Universe

- Cosmological **inflation** (a phase of ‘rapid’ accelerated expansion) predicts **homogeneity** of our Universe at large scales, its spatial **flatness**, **large** size and entropy, and the almost **scale-invariant** spectrum of cosmological perturbations (in agreement with the WMAP measurements of the CMB radiation spectrum)
- Inflation is a paradigm, not a theory! Known theoretical **mechanisms** of inflation use a **slow-roll** scalar field (called **inflaton**) with proper scalar potential
- The **scale** of inflation is well beyond the electro-weak scale (near/below the Grand Unification scale) – it is High-Energy Physics beyond the SM !
- The **nature** of the inflaton and the **origin** of its scalar potential are the big **mysteries**. Einstein gravity alone is **not enough**.

CMB radiation (Mona Lisa of Cosmology)



FLRW metric and cosmological acceleration (Dark Energy)

- The main Cosmological Principle of a **spatially** homogeneous and isotropic (1 + 3)-dimensional universe (at large scales) gives rise to the **FLRW** metric

$$ds_{\text{FLRW}}^2 = dt^2 - a^2(t) \left[\frac{dr^2}{1 - kr^2} + r^2 d\Omega^2 \right]$$

where the function $a(t)$ is known as the **scale factor** in ‘cosmic’ (co-moving) coordinates (t, r, θ, ϕ) , and k is the FLRW topology index, $k = (-1, 0, +1)$. The FLRW metric (1) admits a 6-dimensional isometry group G that is either $SO(1, 3)$, $E(3)$ or $SO(4)$, acting on the orbits $G/SO(3)$, with the spatial 3-dimensional sections H^3 , E^3 or S^3 , respectively. **Important** notice: **Weyl** tensor $C_{ijkl}^{\text{FLRW}} = 0$.

- The early Universe inflation (acceleration) means

$$\ddot{a}(t) > 0, \text{ or equivalently, } \frac{d}{dt} \left(\frac{H^{-1}}{a} \right) < 0$$

where $H = \dot{a}/a$ is **Hubble** function. We take $k = 0$ for simplicity. The amount of inflation (**# e-foldings**) is given by

$$N_e = \ln \frac{a(t_{\text{end}})}{a(t_{\text{start}})} = \int_{t_{\text{start}}}^{t_{\text{end}}} H dt \approx \frac{1}{M_{\text{Pl}}^2} \int_{\phi_{\text{end}}}^{\phi} \frac{V}{V'} d\phi$$

Our preferences (part of our motivation and working philosophy)

- Going beyond Einstein is **necessary** both from the **experimental** viewpoint (eg., due to the existence of DE) and the **theoretical** viewpoint (eg., due to the UV incompleteness of Einstein Gravity, and the need of its unification with the SM)
- DE is relativistic & unclustered, only seen by gravitational interaction. So, like the Einstein gravity, the origin of DE should be **geometrical**, ie. be closely related to space-time and gravity. We opt for introducing the **higher-order curvature terms** on the **l.h.s.** of Einstein equations, and extending gravity to supergravity. Both are required by Superstrings too.
- I am going to talk about inflation (primordial DE) in gravity and supergravity. It is the **SCALAR CURVATURE DEPENDENT PART** of the gravitational effective action that is (arguably) most relevant to the large-scale dynamics $H(t)$.

Simple example: Starobinsky model (1980)

In 4 dimensions, all the independent **quadratic** curvature invariants are $R^{\mu\nu\lambda\rho}R_{\mu\nu\lambda\rho}$, $R^{\mu\nu}R_{\mu\nu}$ and R^2 . However,

$$\int d^4x \sqrt{-g} \left(R^{\mu\nu\lambda\rho}R_{\mu\nu\lambda\rho} - 4R^{\mu\nu}R_{\mu\nu} + R^2 \right)$$

is **topological** for **any** metric, while

$$\int d^4x \sqrt{-g} \left(3R^{\mu\nu}R_{\mu\nu} - R^2 \right)$$

is also **topological** for **any FLRW** metric. Hence, the FLRW-relevant **quadratically**-corrected gravity action is ($8\pi G_N = 1$)

$$S = -\frac{1}{2} \int d^4x \sqrt{-g} \left(R - \alpha R^2 \right)$$

known as the **Starobinsky** model. It has a **stable** inflationary solution (**attractor** !). In particular, for $H \gg M$, one finds

$$H \approx \left(\frac{M}{6} \right)^2 (t_{\text{end}} - t)$$

It is the realization of **chaotic** inflation (chaotic = chaotic initial conditions).

$f(R)$ -gravity equations in (flat) FLRW Universe

The Starobinsky model is the special case of the $f(R)$ -gravity models

$$S_f = -\frac{1}{16\pi G_N} \int d^4x \sqrt{-g} f(R)$$

In the absence of matter, the gravitational (trace) equation of motion is of the 4th-order with respect to the time derivative,

$$\frac{3}{a^3} \frac{d}{dt} \left(a^3 \frac{df'(R)}{dt} \right) + Rf'(R) - 2f(R) = 0$$

where we have used $H = \frac{\dot{a}}{a}$ and $R = -6(\dot{H} + 2H^2)$. The **static** de-Sitter solutions correspond to the roots of the equation $Rf'(R) = 2f(R)$.

The 00-component of the gravitational equations is of the 3rd order:

$$3H \frac{df'(R)}{dt} - 3(\dot{H} + H^2) f'(R) - \frac{1}{2} f(R) = 0$$

The (classical and quantum) **stability** conditions are $f'(R) > 0$ and $f''(R) < 0$, respectively.

$$f(R)\text{-gravity} = \text{scalar-tensor gravity}$$

Any $f(R)$ gravity is (classically) **equivalent** to the **scalar-tensor** gravity having the (extra) propagating scalar field ϕ (Whitt, Maeda).

The equivalence is established via a **Legendre-Weyl transform**. First, the $f(R)$ -gravity action can be rewritten to

$$S_A = \frac{-1}{2\kappa^2} \int d^4x \sqrt{-g} \{AR - Z(A)\}$$

where the real scalar $A(x)$ is related to the scalar curvature R by the Legendre transformation,

$$R = Z'(A) \quad \text{and} \quad f(R) = RA(R) - Z(A(R))$$

$$\text{and } \kappa^2 = 8\pi G_N = M_{\text{Pl}}^{-2}.$$

Second, a Weyl transformation of the metric

$$g_{\mu\nu}(x) \rightarrow \exp \left[\frac{2\kappa\phi(x)}{\sqrt{6}} \right] g_{\mu\nu}(x)$$

with the arbitrary field parameter $\phi(x)$ yields

$$\sqrt{-g} R \rightarrow \sqrt{-g} \exp \left[\frac{2\kappa\phi(x)}{\sqrt{6}} \right] \left\{ R - \sqrt{\frac{6}{-g}} \partial_\mu \left(\sqrt{-g} g^{\mu\nu} \partial_\nu \phi \right) \kappa - \kappa^2 g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi \right\}$$

Hence, when choosing

$$A(\kappa\phi) = \exp \left[\frac{-2\kappa\phi(x)}{\sqrt{6}} \right]$$

and ignoring a total derivative, we can rewrite the action to the form

$$S[g_{\mu\nu}, \phi] = \int d^4x \sqrt{-g} \left\{ \frac{-R}{2\kappa^2} + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + \frac{1}{2\kappa^2} \exp \left[\frac{4\kappa\phi(x)}{\sqrt{6}} \right] Z(A(\kappa\phi)) \right\}$$

in terms of the physical (and canonically normalized) scalar field $\phi(x)$ (**no** higher derivatives and **no** ghosts). As a result, we arrive at the **standard** action of the real dynamical scalar field $\phi(x)$ **minimally** coupled to Einstein gravity and having the **scalar potential**

$$V(\phi) = -\frac{M_{\text{Pl}}^2}{2} \exp \left\{ \frac{4\phi}{M_{\text{Pl}}\sqrt{6}} \right\} Z \left(\exp \left[\frac{-2\phi}{M_{\text{Pl}}\sqrt{6}} \right] \right)$$

Dual scalar potential in the Starobinsky model

In the context of **inflation**, the scalaron ϕ is identified with inflaton. This inflaton has clear origin, and it may be also understood as the conformal mode of the metric (or dilaton) over Minkowski or (A)dS vacuum.

In the case of $f(R) = R - R^2/M^2$, the inflaton scalar potential reads

$$V(y) = V_0 \left(e^{-y} - 1 \right)^2$$

where we have introduced the notation

$$y = \sqrt{\frac{2}{3}} \frac{\phi}{M_{\text{Pl}}} \quad \text{and} \quad V_0 = \frac{1}{8} M_{\text{Pl}}^2 M^2$$

Note the appearance of the **inflaton vacuum energy** V_0 driving inflation. The **end** of inflation (graceful exit) is also obvious in this model.

(Standard) slow-roll inflation

The **slow-roll** inflation parameters are defined by

$$\varepsilon(\phi) = \frac{1}{2}M_{\text{Pl}}^2 \left(\frac{V'}{V} \right)^2 \quad \text{and} \quad \eta(\phi) = M_{\text{Pl}}^2 \frac{V''}{V}$$

The **necessary** condition for the **slow-roll** approximation is the smallness of the inflation parameters

$$\varepsilon(\phi) \ll 1 \quad \text{and} \quad |\eta(\phi)| \ll 1$$

The first condition implies $\ddot{a}(t) > 0$. The second one guarantees that inflation lasts long enough, via domination of the **friction** term in the inflaton equation of motion, $3H \dot{\phi} = -V'$.

The primordial spectrum is proportional to k^{n-1} , in terms of the comoving wave number k and the spectral index n . The slope n_s of the **scalar** power spectrum, associated with density perturbations, **in theory** is (Liddle, Lyth): $n_s = 1 + 2\eta - 6\varepsilon$, the slope of the **tensor** primordial spectrum, associated with gravitational waves, is $n_t = -2\varepsilon$, and the **scalar-to-tensor ratio** is $r = 16\varepsilon$.

Physical observables in Starobinsky model

In Starobinsky model, one finds (Chibisov, Mukhanov)

$$n_s = 1 - \frac{2}{N_e} + \frac{3 \ln N_e}{2N_e^2} - \frac{2}{N_e^2} + \mathcal{O}\left(\frac{\ln^2 N_e}{N_e^3}\right)$$

and $r \approx \frac{12}{N_e^2} \approx 0.004$ with $N_e \approx 55$. It is to be compared to

the experimental (WMAP) values $n_s = 0.963 \pm 0.012$

and $r < 0.24$ (with 95 % confidence).

The **amplitude** of the initial perturbations, $\Delta_R^2 = M_{\text{Pl}}^4 V / (24\pi^2 \varepsilon)$, is another physical observable, whose experimental value is $\left(\frac{V}{\varepsilon}\right)^{1/4} = 0.027 M_{\text{Pl}} = 6.6 \times 10^{16} \text{ GeV}$. It determines the normalization of the R^2 -term in the action,

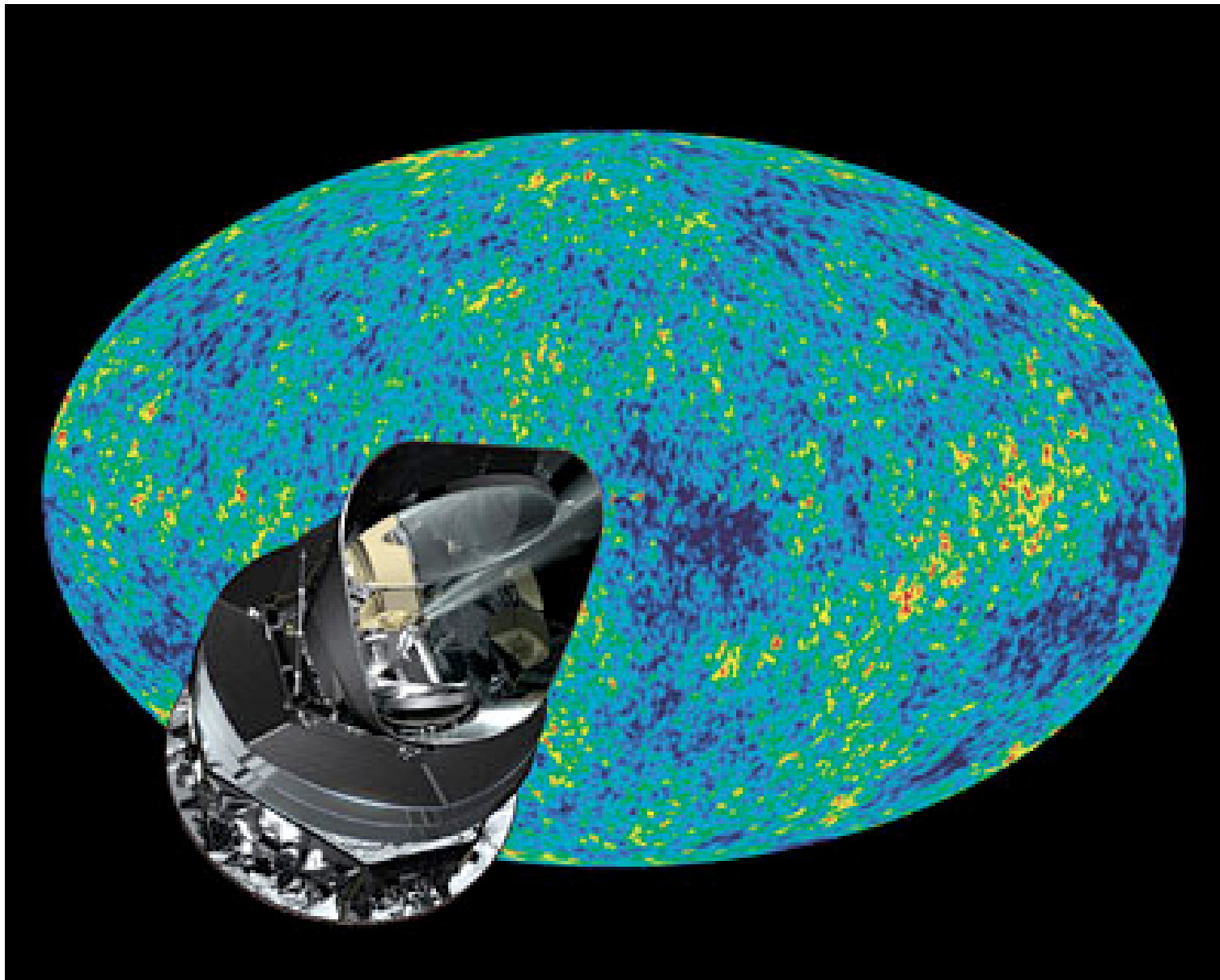
$$\frac{M}{M_{\text{Pl}}} = 4 \cdot \sqrt{\frac{2}{3}} \cdot (2.7)^2 \cdot \frac{e^{-y}}{(1 - e^{-y})^2} \cdot 10^{-4} \approx (3.5 \pm 1.2) \cdot 10^{-6}$$

Some more lessons

- The main **discriminants** amongst inflationary models are the values of n_s and r
- The Starobinsky model of chaotic inflation (1980) is **very economic**, *cf.* multi-field & multi-parameter (string- or brane-) models! It is still **viable**, and is **consistent** with **all** the observations until now. It gives the simple explanation to the WMAP-observed value of n_s . The **crucial** test would be a measurement of r due to primordial gravitational waves
- The scalaron (inflaton) is going to **decay** by the end of inflation, due to one-loop gravitational corrections \rightarrow reheating
- **All viable** inflationary models based on $f(R) = R + \tilde{f}(R)$ gravity are **close** to the simple Starobinsky model (over some range of R) with $\tilde{f}(R) \approx R^2 A(R)$ and the **slowly varying** function $A(R)$,

$$\left| A'(R) \right| \ll \frac{A(R)}{R}, \quad \left| A''(R) \right| \ll \frac{A(R)}{R^2}$$

Planck mission: 0.5% accuracy in CMB expected



Next step: Supergravity

- **Supersymmetry** is the symmetry between bosons and fermions, it is **well motivated** in particle physics beyond the SM, and it is needed for consistency of strings. Supergravity is the theory of **local** supersymmetry. Local supersymmetry **implies** general coordinate invariance, **spin-3/2 gravitino**, etc.

- Most of studies of superstring- and brane- cosmology are based on their **effective** description in the **4-dimensional** $N = 1$ supergravity.

- An $N = 1$ locally supersymmetric extension of $f(R)$ gravity is **possible** (Gates Jr., SVK, 2009). It is also **non-trivial** because there should be **no ghosts**, and the **auxiliary freedom** (Gates Jr., 1996) is to be preserved. The new supergravity action is classically **equivalent** to the **standard** $N = 1$ Poincaré supergravity coupled to a **dynamical** chiral superfield whose Kähler potential and superpotential are dictated by a single **holomorphic** function. The **inflaton** is the **scalar field** component of that chiral superfield originating from the supervielbein.

A possible connection to the **Loop Quantum Gravity** was investigated by Gates Jr., N. Yunes and SVK, in Phys. Rev. D80 (2009) 065003, arXiv:0906.4978 [hep-th].

Inflation in Supergravity (Review)

- There is a generic **problem** to realize an (F -term) slow-roll inflation in supergravity (Murayama, Suzuki, Yanagida, Yokoyama, 1994). Technically, the problem is due to a presence of the factor $\exp(K/M_{\text{Pl}}^2)$ in the F -term generated scalar potential of the matter-coupled supergravity. Given the naive (**tree level**) Kähler potential $K \propto \bar{\Phi}\Phi$, one gets the inflaton scalar potential $V \propto \exp(|\Phi|^2/M_{\text{Pl}}^2)$ that is **too steep** to support chaotic inflation (so-called **η -problem** when $\eta \approx 1$ or, equivalently, $m_{\text{inflaton}}^2 \approx V_0/M_{\text{Pl}}^2 \approx H^2$ that is unacceptable)

- Two **cures** are known in the literature. The 1st one is the **D -term** mechanism (Binetruy, Dvali, 1996), where inflation is generated in the **gauge** sector and is highly sensitive to the gauge charges. The 2nd proposal is to assume that the Kähler potential K does **not** depend upon some scalars (= **flat directions**), and then add a desired scalar potential for flat directions, by identifying one of them with the inflaton (Kawasaki, Yamaguchi, Yanagida, 2000). None of those approaches is geometrical since both refer to the matter sector.

Our Proposal for Natural Inflation in Supergravity

- construct a locally $N = 1$ supersymmetric extension of $f(R)$ gravity (we call it $F(R)$ -supergravity) in four spacetime dimensions,

- study applications of the $F(R)$ supergravity to HEP and early Universe,

and, in particular,

- find **embedding** of the Starobinsky model of chaotic inflation into the $F(R)$ -supergravity.

Basic facts about 4-dim, $N = 1$ supergravity in superspace

A concise and manifestly supersymmetric description of supergravity is given by Superspace. We use here the natural units $c = \hbar = \kappa = 1$.

The chiral superspace density (in the supersymmetric gauge-fixed form) reads

$$\mathcal{E}(x, \theta) = e(x) \left[1 - 2i\theta\sigma_a\bar{\psi}^a(x) + \theta^2 B(x) \right] , \quad (1)$$

where $e = \sqrt{-\det g_{\mu\nu}}$, $g_{\mu\nu}$ is a spacetime metric, $\psi_\alpha^a = e_\mu^a \psi_\alpha^\mu$ is a chiral gravitino, $B = S - iP$ is the complex scalar auxiliary field. We use the lower case middle greek letters $\mu, \nu, \dots = 0, 1, 2, 3$ for curved spacetime vector indices, the lower case early latin letters $a, b, \dots = 0, 1, 2, 3$ for flat (target) space vector indices, and the lower case early greek letters $\alpha, \beta, \dots = 1, 2$ for chiral spinor indices. Supergravity \neq curved Superspace (off-shell SUSY constraints needed)!

The solution of the superspace Bianchi identities and the constraints defining the $N = 1$ Poincaré-type minimal supergravity results in only three covariant tensor superfields \mathcal{R} , \mathcal{G}_a and $\mathcal{W}_{\alpha\beta\gamma}$, subject to the off-shell relations:

$$\mathcal{G}_a = \bar{\mathcal{G}}_a, \quad \mathcal{W}_{\alpha\beta\gamma} = \mathcal{W}_{(\alpha\beta\gamma)}, \quad \bar{\nabla}_{\dot{\alpha}} \mathcal{R} = \bar{\nabla}_{\dot{\alpha}} \mathcal{W}_{\alpha\beta\gamma} = 0, \quad (2)$$

and

$$\bar{\nabla}^{\dot{\alpha}} \mathcal{G}_{\alpha\dot{\alpha}} = \nabla_{\alpha} \mathcal{R}, \quad \nabla^{\gamma} \mathcal{W}_{\alpha\beta\gamma} = \frac{i}{2} \nabla_{\alpha} \mathcal{G}_{\beta\dot{\alpha}} + \frac{i}{2} \nabla_{\beta} \mathcal{G}_{\alpha\dot{\alpha}}, \quad (3)$$

where $(\nabla_{\alpha}, \bar{\nabla}_{\dot{\alpha}}, \nabla_{\alpha\dot{\alpha}})$ represent the **curved** superspace $N = 1$ supercovariant derivatives, and bars denote **complex** conjugation.

The covariantly chiral complex scalar superfield \mathcal{R} has the **scalar** curvature R as the coefficient at its θ^2 term, the real vector superfield $\mathcal{G}_{\alpha\dot{\alpha}}$ has the **traceless Ricci** tensor, $R_{\mu\nu} + R_{\nu\mu} - \frac{1}{2}g_{\mu\nu}R$, as the coefficient at its $\theta\sigma^a\bar{\theta}$ term, whereas the covariantly chiral, complex, totally symmetric, fermionic superfield $\mathcal{W}_{\alpha\beta\gamma}$ has the self-dual part of the **Weyl** tensor $C_{\alpha\beta\gamma\delta}$ as the coefficient at its linear θ^{δ} -dependent term. A **generic** Lagrangian representing the supergravitational effective action in superspace is given by

$$\mathcal{L} = \mathcal{L}(\mathcal{R}, \mathcal{G}, \mathcal{W}, \dots) \quad (4)$$

where the dots stand for arbitrary supercovariant derivatives of the superfields.

New proposal: $F(\mathcal{R})$ supergravity

Let's concentrate on the **scalar** sector of a generic higher-derivative supergravity (4), which is most relevant to cosmology, by **ignoring** the tensor superfields $\mathcal{W}_{\alpha\beta\gamma}$ and $\mathcal{G}_{\alpha\alpha}^{\bullet}$, as well as the derivatives of the scalar superfield \mathcal{R} ,

$$S_F = \int d^4x d^2\theta \mathcal{E} F(\mathcal{R}) + \text{H.c.} \quad (5)$$

with a **holomorphic** function $F(\mathcal{R})$. Besides manifest local $N = 1$ supersymmetry, the action (5) also possess the **auxiliary freedom**, since the auxiliary field B does **not** propagate. It distinguishes the action (5) from the other truncations of eq. (4). The action (5) gives rise to the spacetime **torsion** generated by gravitino, while its bosonic terms have the form

$$S_f = \int d^4x \sqrt{-g} f(R) \quad (6)$$

Hence, eq. (5) can also be considered as the locally $N = 1$ supersymmetric **extension** of the $f(R)$ -type gravity. However, **Supergravity is very restrictive!** and it has **more** particles and fields.

Legendre-Weyl-Kähler transformation in supergravity

The superfield action (5) is classically **equivalent** to

$$S_V = \int d^4x d^2\theta \mathcal{E} [\mathcal{Z}\mathcal{R} - V(\mathcal{Z})] + \text{H.c.} \quad (7)$$

with the covariantly chiral superfield \mathcal{Z} as the **Lagrange** multiplier. Varying the action (7) with respect to \mathcal{Z} gives back the original action (5) provided that

$$F(\mathcal{R}) = \mathcal{R}\mathcal{Z}(\mathcal{R}) - V(\mathcal{Z}(\mathcal{R})) \quad (8)$$

where the function $\mathcal{Z}(\mathcal{R})$ is defined by inverting the function

$$\mathcal{R} = V'(\mathcal{Z}) \quad (9)$$

Equations (8) and (9) define the superfield **Legendre** transform, and imply

$$F'(\mathcal{R}) = \mathcal{Z}(\mathcal{R}) \quad \text{and} \quad F''(\mathcal{R}) = \mathcal{Z}'(\mathcal{R}) = \frac{1}{V''(\mathcal{Z}(\mathcal{R}))} \quad (10)$$

where $V'' = d^2V/d\mathcal{Z}^2$. The second formula (10) is the **duality** relation between the supergravitational function F and the chiral superpotential V .

A **super-Weyl transform** of the action (7) can be done entirely in superspace. In terms of components, the super-Weyl transform amounts to a **Weyl** transform, a chiral **rotation** and a (superconformal) **S -supersymmetry** transformation (**Howe**). The chiral density superfield \mathcal{E} is a chiral **compensator** of the super-Weyl transformations,

$$\mathcal{E} \rightarrow e^{3\Phi} \mathcal{E} , \quad (11)$$

whose parameter Φ is an arbitrary covariantly chiral superfield, $\bar{\nabla}_{\alpha} \Phi = 0$. Under the transformation (11) the covariantly chiral superfield \mathcal{R} transforms as

$$\mathcal{R} \rightarrow e^{-2\Phi} \left(\mathcal{R} - \frac{1}{4} \bar{\nabla}^2 \right) e^{\bar{\Phi}} . \quad (12)$$

The super-Weyl chiral superfield parameter Φ can be traded for the chiral Lagrange multiplier \mathcal{Z} by using a generic **gauge condition**

$$\mathcal{Z} = \mathcal{Z}(\Phi) \quad (13)$$

where $\mathcal{Z}(\Phi)$ is a holomorphic function of Φ . It results in the **equivalent** action

$$S_{\Phi} = \int d^4x d^4\theta E^{-1} e^{\Phi + \bar{\Phi}} [\mathcal{Z}(\Phi) + \text{H.c.}] - \int d^4x d^2\theta \mathcal{E} e^{3\Phi} V(\mathcal{Z}(\Phi)) + \text{H.c.} \quad (14)$$

Equation (14) has the **standard** form of the action of a **chiral matter** superfield coupled to supergravity,

$$S[\Phi, \bar{\Phi}] = \int d^4x d^4\theta E^{-1} \Omega(\Phi, \bar{\Phi}) + \left[\int d^4x d^2\theta \mathcal{E} P(\Phi) + \text{H.c.} \right] , \quad (15)$$

in terms of a ‘Kähler’ potential $\Omega(\Phi, \bar{\Phi})$ and a chiral superpotential $P(\Phi)$. In our case (14) we find

$$\Omega(\Phi, \bar{\Phi}) = e^{\Phi + \bar{\Phi}} [\mathcal{Z}(\Phi) + \bar{\mathcal{Z}}(\bar{\Phi})] , \quad P(\Phi) = -e^{3\Phi} V(\mathcal{Z}(\Phi)) . \quad (16)$$

The truly **Kähler** potential $K(\Phi, \bar{\Phi})$ is given by

$$K = -3 \ln\left(-\frac{\Omega}{3}\right) \quad \text{or} \quad \Omega = -3e^{-K/3} , \quad (17)$$

because of the invariance of the action (15) under the supersymmetric **Kähler-Weyl** transformations

$$K(\Phi, \bar{\Phi}) \rightarrow K(\Phi, \bar{\Phi}) + \Lambda(\Phi) + \bar{\Lambda}(\bar{\Phi}) , \quad \mathcal{E} \rightarrow e^{\Lambda(\Phi)} \mathcal{E} , \quad (18)$$

$P(\Phi) \rightarrow -e^{-\Lambda(\Phi)} P(\Phi)$, with an arbitrary chiral superfield parameter $\Lambda(\Phi)$.

Scalar potential

(in components) is given by the standard formula ([Cremmer et al, 1979](#))

$$\mathcal{V}(\phi, \bar{\phi}) = e^{\Omega} \left\{ \left| \frac{\partial P}{\partial \Phi} + \frac{\partial \Omega}{\partial \bar{\Phi}} P \right|^2 - 3 |P|^2 \right\} \quad (19)$$

where all superfields are restricted to their **leading** field components, $\Phi| = \phi(x)$. Equation (19) can be simplified by making use of the Kähler-Weyl invariance (18) that allows us to choose the gauge

$$P = 1 \quad (20)$$

It is equivalent to the well known fact that the scalar potential (19) is actually governed by the **single** (Kähler-Weyl-invariant) potential

$$G(\Phi, \bar{\Phi}) = \Omega + \ln |P|^2 \quad (21)$$

In our case (16) we have

$$G = e^{\Phi + \bar{\Phi}} [\mathcal{Z}(\Phi) + \bar{\mathcal{Z}}(\bar{\Phi})] + 3(\Phi + \bar{\Phi}) + \ln(V(\mathcal{Z}(\Phi))) + \ln(\bar{V}(\bar{\mathcal{Z}}(\bar{\Phi}))) \quad (22)$$

Let's now specify our gauge (13) by choosing the condition

$$3\Phi + \ln(V(\mathcal{Z}(\Phi))) = 0 \quad \text{or} \quad V(\mathcal{Z}(\Phi)) = e^{-3\Phi} \quad (23)$$

that is equivalent to eq. (20). Then the potential (22) gets simplified to

$$G = \Omega = e^{\Phi + \bar{\Phi}} [\mathcal{Z}(\Phi) + \bar{\mathcal{Z}}(\bar{\Phi})] \quad (24)$$

Equations (8), (9) and (24) are the **one-to-one** relations between a holomorphic function $F(\mathcal{R})$ in the supergravity action (5) and a holomorphic function $\mathcal{Z}(\Phi)$ defining the scalar potential (19)

$$\mathcal{V} = e^G \left[\left(\frac{\partial^2 G}{\partial \Phi \partial \bar{\Phi}} \right)^{-1} \frac{\partial G}{\partial \Phi} \frac{\partial G}{\partial \bar{\Phi}} - 3 \right] \quad (25)$$

in the classically **equivalent scalar-tensor supergravity**.

Getting fields from superfields

Applying the superspace chiral density formula

$$\int d^4x d^2\theta \mathcal{E} \mathcal{L} = \int d^4x e \{ \mathcal{L}_{\text{last}} + B \mathcal{L}_{\text{first}} \} \quad (26)$$

to our action (5) yields its **bosonic part** as

$$F'(\bar{X}) \left[\frac{1}{3} R_* + 4 \bar{X} X \right] + 3 X F(\bar{X}) + \text{H.c.} \quad (27)$$

where primes denote differentiation. We have used the notation

$$X = \frac{1}{3} B, \quad R_* = R + \frac{i}{2} \varepsilon^{abcd} R_{abcd} \quad (28)$$

Varying eq. (27) with respect to the auxiliary fields X and \bar{X} gives rise to merely **algebraic** equation on the auxiliary fields,

$$3\bar{F} + X(4\bar{F}' + 7F') + 4\bar{X} X F'' + \frac{1}{3} F'' R_* = 0 \quad (29)$$

Example #1: Recovering pure Supergravity

- Let's consider the simple **special** case when

$$F'' = 0 \quad \text{or, equivalently,} \quad F(\mathcal{R}) = f_0 + f_1 \mathcal{R} \quad (30)$$

with some complex constants f_0 and f_1 , where $\text{Re} f_1 < 0$. Then eq. (29) is easily solved as

$$\bar{X} = \frac{-3(f_0 + f_1 R_*)}{4f_1 + 7\bar{f}_1} \quad (31)$$

Substituting the solution (31) back into the Lagrangian (27) yields

$$\frac{2}{3}(\text{Re} f_1) R_* - \frac{9|f_0|^2}{14(\text{Re} f_1)} \equiv -\frac{1}{2\kappa^2} R_* - \Lambda = -\frac{1}{2\kappa^2} R(\Gamma + T) - \Lambda \quad (32)$$

where we have reintroduced the **standard** gravitational constant $\kappa_0 = M_{\text{Planck}}^{-1}$ in terms of the (reduced) Planck mass, the standard supergravity connection (i.e. Christoffel symbols Γ plus torsion T), and a cosmological constant Λ ,

$$\kappa = \sqrt{\frac{3}{4|\text{Re} f_1|}} \quad , \quad \Lambda = \frac{-9|f_0|^2}{14|\text{Re} f_1|} \quad (33)$$

Example #2: beyond pure supergravity

Let's consider the *Ansatz*

$$F(\mathcal{R}) = -\frac{1}{2}f_1\mathcal{R} + \frac{1}{2}f_2\mathcal{R}^2 \quad (34)$$

with some **real** constants f_1 and f_2 , where the first term represents the standard (pure) $N = 1$ supergravity and the second term is a 'quantum correction'. We set $\psi_\mu = 0$, which also implies $R_* = R$ and the **real** auxiliary field X . We find

$$L_{\text{bos}} = 11f_2X^3 - 7f_1X^2 + \frac{2}{3}f_2RX - \frac{1}{3}f_1R \quad (35)$$

In the limit of $f_2 \rightarrow 0$ we thus have $X = 0$, as it should. Hence, we recover the Einstein-Hilbert Lagrangian

$$L_{\text{EH}} = -\frac{1}{3}f_1R = -\frac{1}{2\kappa^2}R = -\frac{M_{\text{Pl}}^2}{2}R \quad (36)$$

provided that

$$f_1 = \frac{3}{2}M_{\text{Pl}}^2. \quad \text{Let's also use } f_2 = \frac{M_{\text{Pl}}^2}{m}. \quad (37)$$

The **auxiliary** field equation (29) takes the form of a **quadratic** equation,

$$11X^2 - 7mX + \frac{2}{9}R = 0 \quad (38)$$

whose solution is given by

$$X_{\pm} = \frac{7m}{22} \left[1 \pm \sqrt{1 - \frac{8 \cdot 11R}{3^2 \cdot 7^2 m^2}} \right] = \left(\frac{2R_{\max}}{99} \right)^{1/2} \left[1 \pm \sqrt{1 - \frac{R}{R_{\max}}} \right] \quad (39)$$

where we have introduced the **maximal** scalar curvature

$$R_{\max} = \frac{99}{2} \left[\frac{7m}{22} \right]^2 \quad (40)$$

The existence of the built-in maximal (upper) scalar curvature (or the AdS bound) is a nice **bonus** of our construction. It is similar to the factor $\sqrt{1 - v^2/c^2}$ of **Special Relativity**. Yet another close analogy comes from the **Born-Infeld** non-linear extension of Maxwell electrodynamics, whose (dual) Hamiltonian is proportional to $\left(1 - \sqrt{1 - \vec{E}^2/E_{\max}^2 - \vec{H}^2/H_{\max}^2 + (\vec{E} \times \vec{H})^2/E_{\max}^2 H_{\max}^2} \right)$ in terms of the electric and magnetic fields \vec{E} and \vec{H} , respectively, with their maximal values. For instance, **in string theory**, one has $E_{\max} = H_{\max} = (2\pi\alpha')^{-1}$.

Special $f(R)$ -gravity from $F(R)$ -Supergravity

Equation (38) can be used to reduce the Lagrangian (35) to a linear function of X by double iteration. Then a substitution of the solution (39) into the Lagrangian gives us a bosonic $f(R)$ gravity Lagrangian (6) in the form

$$f_{\pm}(R) = \frac{-5 \cdot 17 M_{\text{Pl}}^2}{2 \cdot 3^2 \cdot 11} R + \frac{2 \cdot 7}{3^2 \cdot 11} M_{\text{Pl}}^2 (R - R_{\text{max}}) \left[1 \pm \sqrt{1 - R/R_{\text{max}}} \right] \quad (41)$$

By construction, in the limit $m \rightarrow +\infty$ (or $R_{\text{max}} \rightarrow +\infty$) both functions f_{\pm} **reproduce** General Relativity. In another limit $R \rightarrow 0$, we find a cosmological constant,

$$f_{-}(0) \equiv \Lambda_{-} = 0, \quad f_{+}(0) \equiv \Lambda_{+} = -\frac{7^3}{2^2 \cdot 11^2} M_{\text{Pl}}^2 m^2 = -\frac{14}{99} M_{\text{Pl}}^2 R_{\text{max}} \quad (42)$$

Example #2: Scalar potential and inflation

In the case of the supergravity-generated function $f_-(R)$, the inflaton scalar potential reads

$$V(y) = \frac{3^3}{2^6} M_{\text{Pl}}^2 m^2 (11e^y + 3) (e^{-y} - 1)^2$$

The last factor $(e^{-y} - 1)^2$ of this potential is **the same** as that of the Starobinsky model. However, the extra factor $(11e^y + 3)$ does **not** allow for a slow-roll inflation because of

$$\varepsilon(y) = \frac{1}{3} \left[\frac{e^y (11 + 11e^{-y} + 6e^{-2y})}{(11e^y + 3)(e^{-y} - 1)} \right]^2 \geq \frac{1}{3} \quad (43)$$

and

$$\eta(y) = \frac{2}{3} \frac{(11e^y + 5e^{-y} + 12e^{-2y})}{(11e^y + 3)(e^{-y} - 1)^2} \geq \frac{2}{3} \quad (44)$$

#3: Viable embedding of Starobinsky model into Supergravity

Let's add a **cubic** term : $F(\mathcal{R}) = -\frac{1}{2}f_1\mathcal{R} + \frac{1}{2}f_2\mathcal{R}^2 - \frac{1}{6}f_3\mathcal{R}^3$

with some real positive coefficients f_1 , f_2 and f_3 . The auxiliary field equation reads

$$X^3 - \left(\frac{33f_2}{20f_3}\right)X^2 + \left(\frac{7f_1}{10f_3} + \frac{1}{30}R\right)X - \frac{f_2}{30f_3}R = 0$$

In particular, when $f_2^2 \ll f_1f_3$, we find a simple solution

$$X^2 = -\frac{1}{30}(R + R_0)$$

when $R < -R_0$ with $R_0 = 21f_1/f_3$. It gives rise to the bosonic Lagrangian

$$L_{\text{bos}} = -\frac{f_1}{3}R + \frac{f_3}{180}(R + R_0)^2$$

Thus, for the high curvatures $|R| \gg R_0$ one gets the Starobinsky model! To get it **viable for chaotic inflation**, one needs **large** f_3 (to get $|R| \ll M_{\text{Pl}}$) and **large** f_2^2/f_1 (to get $m_{\text{inf}} \ll M_{\text{Pl}}$).

Other regimes in the cubic $F(R)$ SUGRA

In addition to the high-curvature regime (including Starobinsky inflation), there are two other (overlapping) regimes:

- the **intermediate** (post-inflationary) regime characterized by $\frac{|\delta R|}{R_0} \ll 1$, where $\delta R = R + R_0$. In this case, we find a cubic equation on the auxiliary field X in the form

$$30X^3 + (\delta R)X + \frac{f_2}{f_3}R_0 = 0$$

- the **low-curvature** regime (up to $R = 0$) characterized by $\delta R > 0$ and $\frac{\delta R}{R_0} \gg \left(\frac{f_2^2}{f_1 f_3}\right)^{1/3}$. It yields

$$X = \frac{f_2 R}{f_3(R + R_0)} \quad \text{and} \quad L = -\frac{f_1}{3}R + \frac{f_2^2 R^2}{f_3(R + R_0)}$$

Conclusion

- A manifestly $4D$, $N = 1$ supersymmetric **extension** of $f(R)$ gravity exist, it is **chiral** and is parametrized by a holomorphic function. An $F(R)$ supergravity is classically **equivalent** to the **standard** theory of a chiral scalar superfield (with certain Kähler potential and superpotential) minimally coupled to the $N = 1$ Poincaré supergravity in four spacetime dimensions.
- The **inflaton scalar potential** is derivable via the (non-perturbative) **Legendre–Kähler-Weyl** transform in superspace, and is governed by a **single holomorphic** function. The Starobinsky model of chaotic inflation can be embedded into $F(\mathcal{R})$ supergravity. The $F(R)$ supergravity predicts the existence of the **maximal** scalar curvature, or AdS (upper) bound.
- We conjectured the **identification** of the dynamical chiral superfield in $F(R)$ supergravity with the **dilaton-axion** chiral superfield in 4D Superstring Theory. The $R^2 A(R)$ terms may appear in the supergravitational effective action after superstring compactification. The problem is to get a **large** coefficient in front of the R^2 -term.

Phenomenological scenario based on $F(R)$ SUGRA

- after inflation at $T_R \approx 10^9 \text{ GeV}$, scalaron decay provides the **universal** mechanism of viable **reheating** and a transition to the hot radiation-dominated stage of the Universe evolution \rightarrow standard primordial nucleosynthesis (Starobinsky, 1981)
- inflaton super-partner (= **axion**) \rightarrow **CP-violation** \rightarrow leptogenesis \rightarrow baryogenesis \rightarrow baryon (matter-antimatter) **asymmetry** (Fukugita, Yanagida, 1986)
- **broken SUSY** \rightarrow heavy gravitino ($m_{3/2} \geq 10^7 \text{ GeV}$) \rightarrow **Cold Dark Matter**
- gravity-mediated SUSY breaking \rightarrow new particle phenomenology **beyond SM**

OUTLOOK: **there is much more in!**